

## PART B - FEE(S) TRANSMITTAL

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7590

09/10/2004

David A. Kalow  
Kalow & Springut LLP  
488 Madison Avenue  
19th Floor

New York, NY 10022

12/14/2004 HUUONGE 00000087 110171 09838119

01 FC:1504 300.00 DP  
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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

<i>John A. Gurne</i>	(Depositor's name)
<i>John A. Gurne</i>	(Signature)
<i>December 8, 2004</i>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/838,119	04/20/2001	Adolf Schafer-Sindlinger	33766W026	8727

TITLE OF INVENTION: PROCESS AND CATALYST FOR REDUCING NITROGEN OXIDES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	12/10/2004
EXAMINER	ART UNIT	CLASS-SUBCLASS			
STRICKLAND, JONAS N	1754	423-239200			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Kalow &amp; Springut LLP

2

3

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

UMICORE AG &amp; Co. KG

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Hanau-Wolfgang, Germany

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are enclosed:

☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies

## 4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 11-0171 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.  
 NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*William D. Schmidt*

Date

December 8, 2004

Typed or printed name

William D. Schmidt, Esq.

Registration No.

39,492

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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77360.1  
**PATENT**



**13318US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Schäfer-Sindlinger et al. Examiner: Jonas N. Strickland  
Serial No.: 09/838,119 Group Art Unit: 1754  
Filed: April 20, /2001  
For: A Process and Catalyst for Reducing Nitrogen Oxides  
Customer No.:

**23719**

PATENT TRADEMARK OFFICE

Kalow & Springut LLP  
488 Madison Avenue, 19th Floor  
New York, New York 10022

December 8, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
**Mail Stop Issue Fee**

**TRANSMITTAL OF ISSUE FEE**

Sir:

A check for the amount of \$1,670.00 is submitted herewith to satisfy a large entity level issue fee. Please charge any further deficiency or credit any overpayment to deposit account No. 11-0171.

If there are any questions regarding this matter that need to be resolved, the Examiner is respectfully invited to contact the applicants' attorney at the number given below. Thank you for your time and attention to this matter.

Respectfully submitted,

William D. Schmidt  
Registration No.: 39,492  
Attorney for Applicant

Telephone No.: (212) 813-1600

**Certificate of Mailing Under 37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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